

Application of Hopfield Neural Network for Facial Image Recognition

Neha Soni, Enakshi Khular Sharma, Amita Kapoor

Abstract: Hopfield model (HM) classified under the category of recurrent networks has been used for pattern retrieval and solving optimization problems. This network acts like a CAM (content addressable memory); it is capable of recalling a pattern from the stored memory even if it's noisy or partial form is given to the model. This paper presents a framework to use HM for machine recognition of human faces. The approach presented here uses Otsu's method to transform facial images (low and ultra-low-resolution) from grey scale to binary, and Hebb rule to store them in the W (weight matrix) of the network. HM is then tested with up to 45% distortion in facial images; the network is allowed to evolve asynchronously to a stable state. To check positive retrieval, we match (bit-by-bit) the stable state facial image with the original facial image. Our results show 100% retrieval for grey scale facial images (of 60×60 pixel size) for up to 30% distortion. This suggests that HM can be used for face-based security applications when the number of individuals allowed is a limited number like a high-security military area or a lab.

Index Terms: Asynchronous Retrieval, Auto Associative Memory, Face Recognition, Hopfield Model.

I. INTRODUCTION

Human face plays a significant role in our societal connections; it is a person's unique identity. Using it as a means to security has received significant attention in the past few years [1, 2, 3]. A system capable of recognizing facial images can be used for a large number of applications like individual verification (in employee/national IDs, passports, drivers' licenses, banking etc.), surveillance (CCTVs (closed-circuit television) can be utilized to come across the proven criminals, thieves, etc.), and database exploration (investigating image banks of misplaced kids, refugee, certified drivers, assist recipients, etc.) [1], [5], [6], [7]. Machine recognition of facial images for biometrics has various benefits over other biometric techniques. The majority of the existing biometric techniques need voluntary engagement of the user. For instance, fingerprint/palm detection demands the user to stop and position his finger/thumb/palm on the finger/thumb/palm rest, for retina or iris recognition user needs to halt and procure a fixed position in front of the device for iris detection [8]. The above mentioned biometric methods entail numerous persons to

utilize the same machinery to capture the above-mentioned traits. In contrast, machine recognition of facial images can be completed inertly without any involvement or explicit action of the individual; the camera installed at a place can be utilized to click the facial images remotely. Satisfactory high-precision facial images can be achieved using economical cameras. Also, it is entirely non-interfering and free from the risk of health hazards because of the transmission of microbes in the recognition equipments [9].

Investigators in last thirty years have inspected various distinctive techniques namely PCA (uses Eigen faces) [10], [11], [12], LDA (uses Fisher's faces) [12], ICA [13] etc. for facial image recognition. Various issue that make this task exigent are the deviations established due to wide-ranging expressions [12], [13], [14], [15], age [13], [14], [15], glasses [12], [15], rotation [15], size variations [11], [15], changing lighting conditions [11], [13] etc. The above mentioned factors deteriorate the precision of the majority of the facial image recognition systems [10], [11], [14].

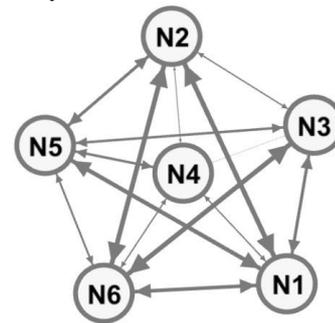


Fig 1 Hopfield model: Interconnections between six neurons [16]

This paper presents a framework to use Hopfield Model [17], [18], [19] for machine recognition of human facial images. The approach presented here uses Otsu's method [20], [21] for preprocessing i.e. transformation of images to binary images and Hebb rule [17], [18], [22], [23] for storage of binary images in W . We performed two experiments: studied the recall of two different sized image datasets using HM, one, low-resolution images (60×60 pixels), another ultra-low-resolution image (ULRI) (10×10 pixels). We studied the recall of these datasets in the presence of distortions for up to 45%; the network is allowed to evolve asynchronously to a stable state. To check convergence, we measured the similarity between the original fundamental memories and recalled stable image.

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II. HOPFIELD MODEL

In 1980s [17], [18] Hopfield and Tank proposed the bio-inspired Hopfield model. Fig 1 demonstrates the interconnections between six neuron HM. The proposed recurrent model operates in two phases: the storage phase (calculation of weight matrix, W by means of Hebb's rule [17], [18], [22], [23] and the retrieval phase (recalling stored memories with the help of W). Fig 2 and Fig 3 illustrate the detailed algorithm for both the phases.

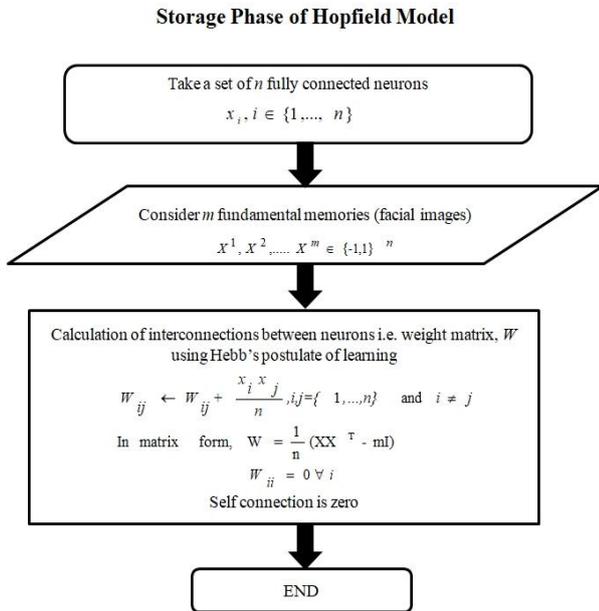


Fig 2 Storage Phase of the Hopfield Model

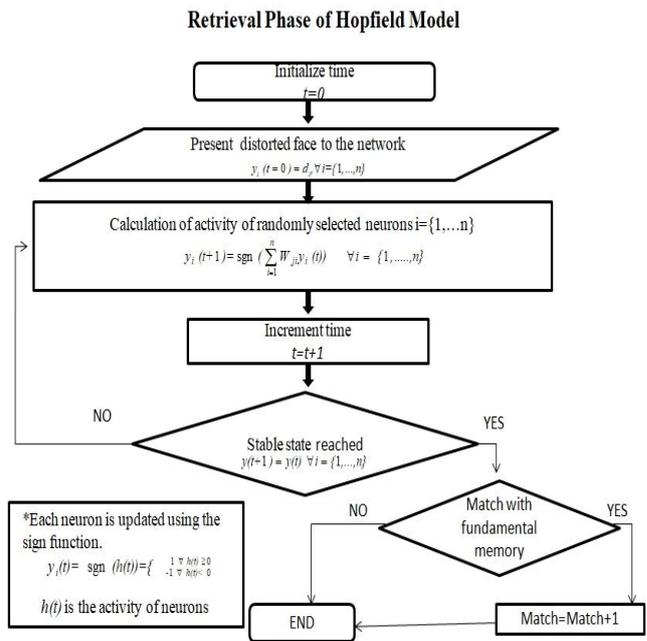


Fig 3 Retrieval Phase of the Hopfield Model

III. DATASET USED AND EXPERIMENT

We made use of facial images of different pixel size (202×249 to 60×60) for our experiment. Fig. 4 demonstrates the original dataset for our experiments. First, the pre-processing is done; the image size is reduced to 60×60 using row and column elimination. The converted images are then stored in weight matrix and retrieval of distorted images is done by implementing asynchronous Hopfield model. Fig. 5 represents the detailed framework used for our experiments.



Fig 4 Original dataset: (202×249 to 60×60) pixel size

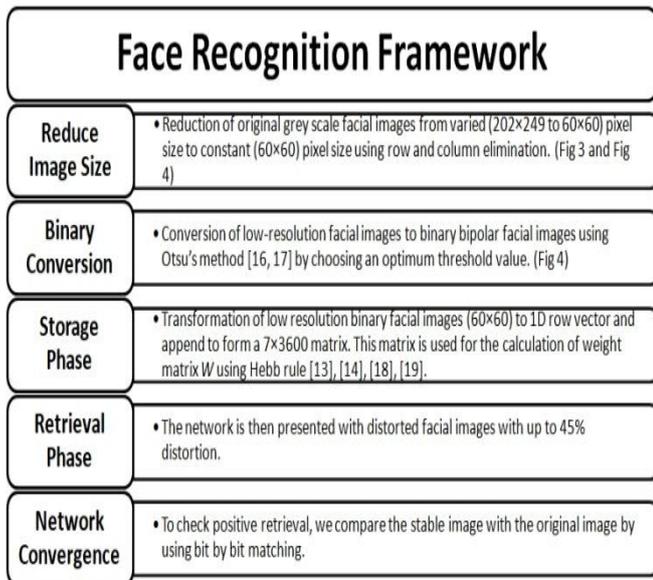


Fig 5 Framework for facial image recognition using Hopfield model

Asynchronous HM implemented updates one neuron at a time till a stable state is obtained. For every distortion percentage the network is fed with 500 distinct distorted images. Fig. 6 shows the training data with reduced size and their corresponding binary images (step 1 and step 2 of Fig. 5). Fig. 7 illustrates the test data and their corresponding recalled images ((I) 10%, (II) 25%, (III) 35% distortion).

In our second experiment, we choose five face images from above dataset and further reduced them to ultra low-resolution images (ULRI, 10×10 pixel size). These ULRI's are then transformed into binary images in a similar way as 60×60 face images, Fig 8. These binary images form the training data (matrix with 5×100 positions), which again requires the computation of weight matrix *W* (matrix with 100×100 positions) via Hebb rule. For an exploration of retrieval of distorted images, this is fed with distorted facial images with up to 17% distortion.

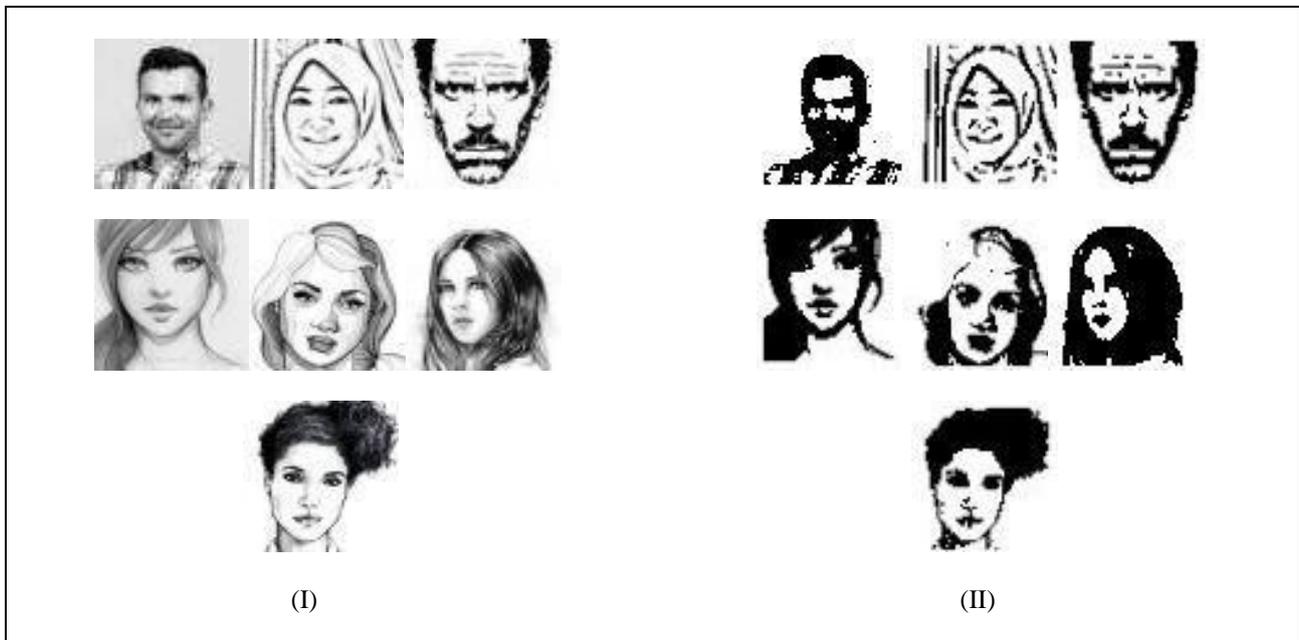


Fig 6 Training data with reduced size (60×60 pixel size), (II) Their corresponding binary images (60×60 pixel size)

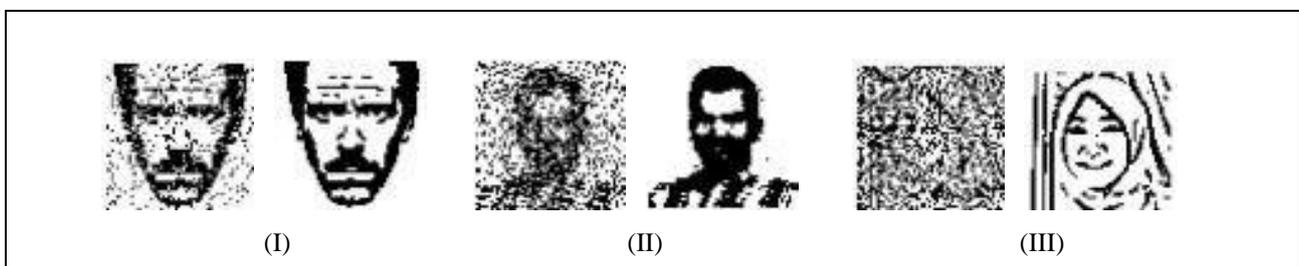


Fig 7 Test data and their corresponding recalled images ((I) 10%, (II) 25%, (III) 35% distortion).

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Fig 8 Training data with reduced size (10×10 pixel size), (II) Their corresponding binary images (10×10 pixel size)

Table 1 Results for HM for 7 facial images (60×60 pixel size).

Results for 60×60 (pixel size) facial images									
Facial images	Distortion Percentage								
	5%	10%	15%	20%	25%	30%	35%	40%	45%
1	100	100	100	100	100	100	99.8	94.4	72.2
2	100	100	100	100	100	100	100	99.8	88.8
3	100	100	100	100	100	100	100	99.4	86.2
4	100	100	100	100	100	100	100	100	85.2
5	100	100	100	100	100	100	100	96	69
6	100	100	100	100	100	100	97.6	91	63
7	100	100	100	100	100	100	99.4	96	78.2

Table 2 Results for HM for 5 ULRI's (10×10 pixel size)

Results for 10×10 (pixel size) facial images									
Facial images	Distortion Percentage								
	1%	3%	5%	7%	9%	11%	13%	15%	17%
1	100	100	100	100	100	100	100	100	100
2	100	100	100	100	100	100	100	100	99.8
3	100	100	100	100	100	100	100	99.4	99.8
4	100	100	100	100	100	100	100	99.8	99
5	100	100	100	100	100	100	99.8	100	99.6

IV. RESULTS AND CONCLUSIONS

Distortions introduced due to light variations, aging, accessories, and expressions lie within the range of 5 to 15%, by means of HM we have been able to attain successful recovery of at least 97% for up to 35% distortions for low-resolution images and for ULRI facial images 99% for up to 17% distortions, this is a significant improvement over previously reported results.

Table 1 encapsulates the result of our first experiment in which we used 7 facial images (60×60 pixel size). Our results demonstrate 100% retrieval for grey scale facial images (of 60×60 pixel size) for up to 30% distortion. This suggests that

HM can be used for face-based security applications when the number of individuals allowed is a limited number like a high-security military area or a lab. Table 2 demonstrates the results for the 5 ULRI's. For the distortion of up to 11%, the model recalled all the distorted images correctly.

The diminished network size for ULRI (1/36 of the first experiment: the tiny 100 neuron model) can be simply embedded on a chip and used for a range of safety and recognition purposes for individual electronic devices. The results for the low-resolution images and ULRI show significant improvement and can aid in achieving size invariance for machine recognition and various other vision tasks.



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