

# Prediction of Software Defects using Ensemble Machine Learning Techniques



Sowjanya Jindam, Sai Teja Challa, Sai Jahnvi Chada, Navya Sree B, Srinidhi Malgireddy

**Abstract:** During software development and maintenance, predicting software bugs becomes critical. Defect prediction early in the software development life cycle is an important aspect of the quality assurance process that has received a lot of attention in the previous two decades. Early detection of defective modules in software development can support the development team in efficiently and effectively utilizing available resources to provide high-quality software products in a short amount of time. The machine learning approach, which works by detecting hidden patterns among software features, is an excellent way to identify problematic modules. The software flaws in NASA datasets MCI, MW1, KC3, and PC4 are predicted using multiple machine learning classification algorithms in this work. A new model was developed based on altering the parameters of the previous XGBoost model, including  $N_{estimator}$ , learning rate, max depth, and subsample. The results were compared to those obtained by state-of-the-art models, and our model outperformed them across all datasets.

**Keywords:** Machine Learning, Dataset, Supervised Learning, Random Forest, XgBoost, Ada Boost, Decision Tree.

## I. INTRODUCTION

Completing a software project nowadays is a major challenge. A project manager's main concern is defects or problems. Poor code design and implementation cause these issues to appear. Creating a bug-free software is the most demanding job in the software industry. Even if they are totally focused on testing, software development companies will struggle to tackle this issue.

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Defects are a normal occurrence in any human-created application because it is not automated process. Software development companies, on the other side, place high importance on early fault detection through a range of inspection and testing methodologies. Predicting bugs has a significant impact in today's world, which is becoming increasingly dependent on software. We wish to compare the effectiveness of the XgBoost Algorithm against classic machine learning methods like logistic regression, decision trees, random forest, and AdaBoost in detecting defects in software in this project Machine Learning algorithms such as logistic regression and decision trees were used in past studies. They have lower accuracy and make many assumptions on datasets, which might lead to biases if the assumptions are not met. Later, for classification, ensemble techniques such as Random Forest were created, which outperform decision trees. However, we employed boosting techniques like AdaBoost for Classification to avoid bias from generation. However, it, too, has poor accuracy.

## II. RELATED WORK

In this methodology, they used the machine learning models - Logistic regression, Decision Tree, Random Forest, Adaboost, and XGBoost as state-of-art models for four datasets of NASA-KC2, PC3, JM1, CM1. Later on, a new model was proposed based on tuning the existing XGBoost model by changing its parameters, namely  $N_{estimator}$ , learning rate, max depth, and subsample[1]. Proposed a novel sparsity-aware algorithm for sparse data and a weighted quantile sketch for approximate tree learning. More importantly, we provide insights on cache access patterns, data compression, and sharding to build a scalable tree boosting system. By combining these insights, XGBoost scales beyond billions of examples using far fewer resources than existing systems[2]. Using a random selection of features to split each node yields error rates that compare favorably to Adaboost (Y. Freund & R. Schapire, Machine Learning: Proceedings of the Thirteenth International Conference, \*\*\*, 148–156), but are more robust with respect to noise. Internal estimates monitor error, strength, and correlation and these are used to show the response to increasing the number of features used in the splitting. Internal estimates are also used to measure variable importance. These ideas are also applicable to regression[3]. An approach to the construction of classifiers from imbalanced datasets is described[4]. They used three supervised machine learning algorithms are considered to build the model and predict the occurrence of the software bugs based on historical data by deploying the classifiers Logistic regression, Naïve Bayes, and Decision Tree.

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Proposed bug detection as a binary classification problem, e.g., correct and wrong, and used the deep Bugs framework to train a classifier that can identify faulty code from correct code [5]. Proposed a defect detector system that works with a variety of compilers and languages, such as javac, gcc, and Visual Studio [6]. Using marginal R square values, proposed a strategy that uses the smallest and most accurate number of performing metrics at a time. The Eclipse JDT Core dataset [7] was chosen. Using One Class SVM, proposed a one-class SFP (Software Fault Prediction) Model [8]. Machine learning was used to forecast vulnerability in web applications. This article generates input validation and sanitation properties. For each sink, it computes a static backward slice. The control flow graph, control dependence graph, and system dependence graph of a web software [9] are used to analyse the programme. The suggested approach is to first prioritise

the problems based on severity and component attribute. It employs the Xmean Clustering algorithm in conjunction with the Bayes Net Classifier [10].

### III. METHODOLOGY

In our research and extensive literature review, we found that Random Forest works well for Software Defect Prediction with high accuracy, but that it can be further improved by another recently introduced algorithm called XGBoost. In this paper, we propose a method for building a predictive model with a higher accuracy using Ensemble Machine Learning Techniques.

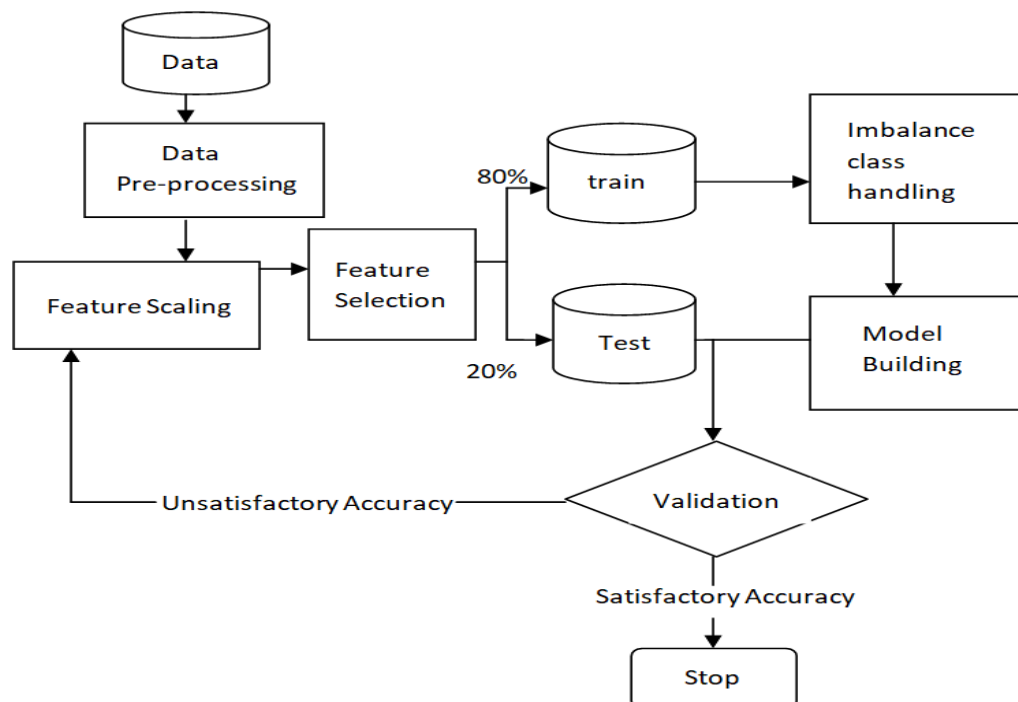


Fig-1: Architectural Diagram

The above figure depicts that data preprocessing is done in various phases. Firstly, the data is preprocessed and then data is classified into training and testing data. After the classification, imbalance class handling and model building is done. Then the data is validated using a certain satisfactory accuracy. If the satisfied accuracy is not met, it is again fed back to the feature scaling.

#### • Data Preprocessing

The most fascinating thing of our time is machine learning. For their organizations, everyone is starting to use machine learning models. Data is at the center of the complex process. Our machine learning tools ensure that the data we process is of high quality. In that case, Data Pre-processing is an important stage in creating a successful prediction model. The method of feature scaling is used to determine the range of independent variables or data attributes. In our study, we employed a normalization approach to bring our data to the same scale.

**Class Imbalance Handling:** As we can observe from our target variable's distribution graph. If our target variable is very uneven, our prediction model will ignore the minority

class while being highly prejudiced toward the majority. In this study, we used SMOTE, which is a synthetic minority oversampling technique, to solve this problem.

#### • Software Defect Dataset

We chose a normal NASA Promise Repository software bug dataset to test the effectiveness of our technique. The datasets MC1, MW1, KC3 and PC4 are used. <https://www.kaggle.com/datasets/aczy156/nasa-software-defect-prediction> is the public URL for this repository. The following is a brief description of these datasets: NASA created the dataset as part of its Metrics Data Program. Shepperd et al. cleaned up the dataset in 2013 by removing duplicate and inconsistent data. The PROMISE database contains this enhanced information. As a result, for the cleaned data in our investigation, we used NASA Dataset. NASA uses Halstead and McCabe metrics for each occurrence in their data.

There are around 40 features, such as the unique operator value (MU1), unique operator value (MU2), total number of operators (N1), total number of operands (N2), rows Code (LOC), and so on. Each NASA project has its own set of features. Table 1 lists the specific number of attributes available.

TABLE-1. Dataset Insights

Dataset	Project Name	#Instances	Features
NASA	MC1	1988	40
	MW1	253	39
	KC3	194	41
	PC4	1287	39

• Data Splitting

We can't use the dataset to train our model. If we train our model for all data points, we will have an issue with overfitting, and our model may make an incorrect prediction of a new statement. To test the effectiveness and reliability of our model, we decided to split our dataset into two sections with an 80:20 ratio. The model is trained using 80% of the

data set, while the remaining 20% is used to evaluate the model's performance using projected and actual value comparisons.

IV. RESULT ANALYSIS AND DISCUSSION

Each dataset is trained on 5 different machine learning algorithms and their respective accuracies are recorded. These accuracies are then used for comparison between the models.

A. Logistic Regression

Logistic regression is a statistical model that uses a logistic function to represent a binary dependent variable in its most basic form, though there are many more advanced variants. Logistic regression (or logit regression) is a technique for estimating the parameters of a logistic model in regression analysis (a form of binary regression).

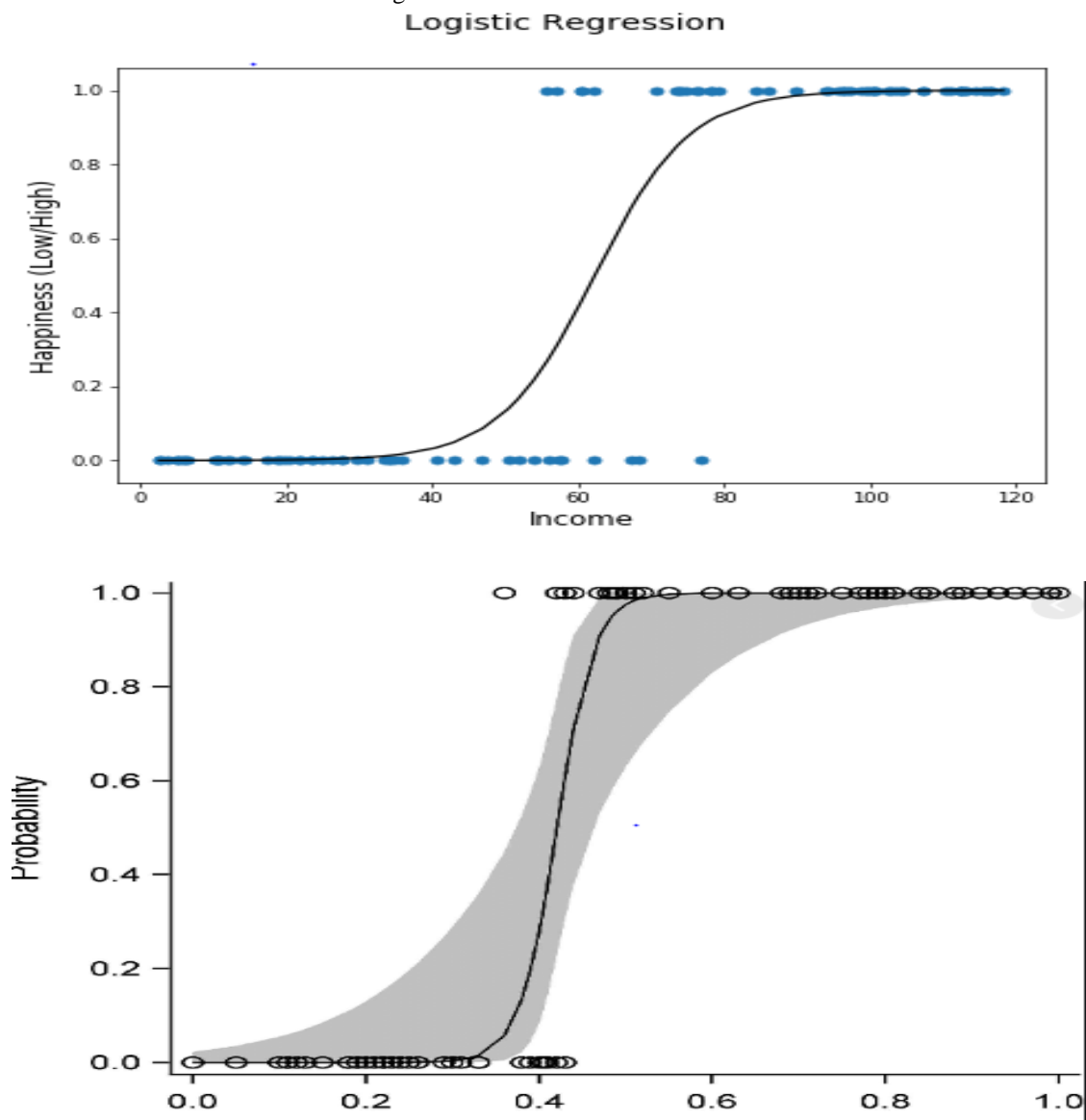


Fig-2: Logistic regression

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This linear relationship can be written in the following mathematical form (where  $\ell$  is the log-odds, is the base of the logarithm, and are parameters of the model):

$$\ell = \log_b \frac{p}{1-p} = \beta_0 + \beta_1 x_1 + \beta_2 x_2 \quad (1)$$

$$P = \frac{e^{a+bX}}{1 + e^{a+bX}} \quad (2)$$

The variables  $x_1$  and  $x_2$  are explanatory variables and  $\beta_0$ ,  $\beta_1$  and  $\beta_2$  are coefficients. The probability odds ratio  $e^b$  has a simpler interpretation in the case of a categorical explanatory variable with two categories; in the case it is just the odds ratio for one category compared with the other.

### B. Decision Tree

For classification and regression, decision trees are a nonparametric supervised learning method. The goal is to learn simple decision rules using data attributes to build a model that predicts the value of a target variable.

The root of a decision tree is at the top of an upside-down tree. The bold writing in black in the figure on the left represents an internal node/condition that causes the tree to break into branches/edges. The decision/leaf, in this case whether the passenger died or survived, is shown by red and green text, respectively, at the end of the branch that doesn't divide anymore.

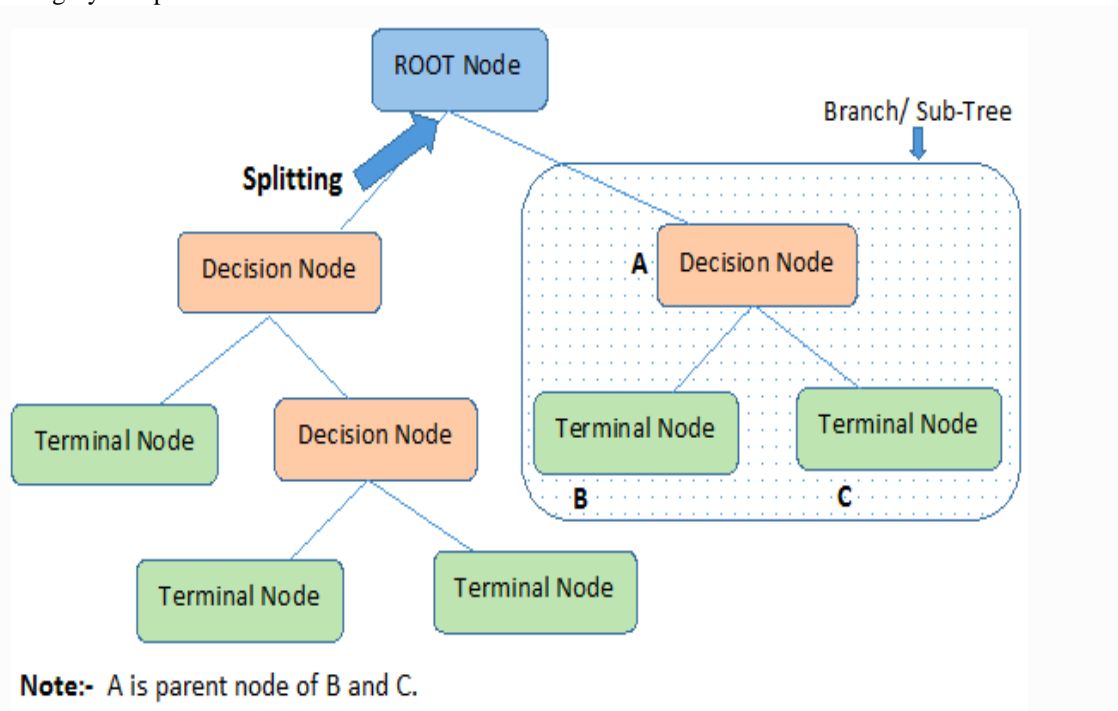


Fig-3: Block Diagram for Decision Tree Algorithm

### C. Random Forest

As the name implies, a random forest is made up of a huge number of individual decision trees that work together as an ensemble. Each tree in the random forest produces a class prediction, and the class with the most votes becomes the prediction of our model.

Bootstrap aggregation is known as bagging. It combines many learners to reduce estimate variance.

The Random Forest algorithm is a bagging-based supervised classification system. The amount of trees in the forest has a direct relationship with the accuracy of the results: the more trees there are, the more accurate the result.

The following are some of the advantages of Random Forest over Decision Trees:

- Overfitting is a serious issue that can damage results, but with the Random Forest technique, the classifier will not over-fit the model if there are enough trees in the forest.
- The same random forest approach may be utilized for classification and regression tasks.

- The Random Forest technique may be used to feature engineers, or discover the most relevant characteristics from a training dataset.

### D. AdaBoost

Ada-boost, or Adaptive Boosting, is an ensemble classifier that uses the boosting idea. It combines many classifiers to improve classifier accuracy.

AdaBoost is a technique for creating iterative ensembles. The AdaBoost classifier creates a powerful classifier by merging several low-performing classifiers, resulting in a high-accuracy classifier.

Adaboost's core principle is to establish the weights of classifiers and train the data sample in each of iteration so that reliable predictions of uncommon observations may be made. Any machine learning method that accepts weights on the training set can be used as a basic classifier.

Adaboost must meet two requirements:

1. The classifier should be interactively trained using a variety of weighed training examples.
2. It seeks to offer an excellent fit for these instances in each of iteration by minimizing training error.

It operates in the following manner:

1. Adaboost picks a training subset at random at first.
2. It trains the AdaBoost machine learning model iteratively by picking the training set based on the last training's accurate prediction.
3. It gives incorrectly categorized observations a larger weight so that they have a higher chance of being classified in the next iteration. It also allocates weight to the trained classifier in each of iteration based on the

classifier's accuracy. The more precise classifier will be given more weight.

4. This approach is repeated until all of the training data fits perfectly or until the maximum number of estimators is reached.
5. Perform a "vote" across all of the learning algorithms you created to categorize them.
6. It also allocates weight to the trained classifier in each of iteration based on the classifier's accuracy. The more precise classifier will be given more weight.
7. This approach is repeated until all of the training data fits perfectly or until the maximum number of estimators is reached.
8. Perform a "vote" across all of the learning algorithms you created to categorize them.

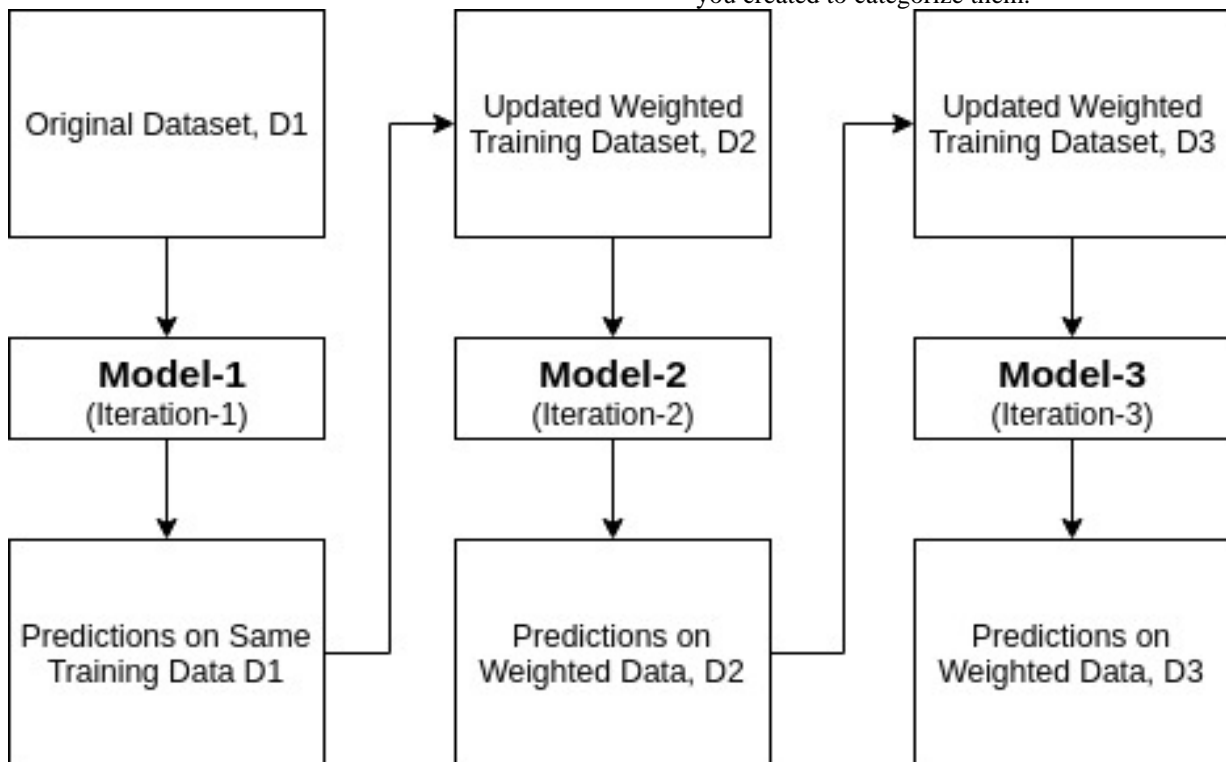


Fig-4: Block Diagram of AdaBoost Algorithm

### E. XG Boost

Gradient boosting also includes an ensemble method, similar to traditional boosting, that sequentially adds predictors and corrects previous models. Rather than providing changing weights to the classifiers after each of iteration, this method fits the new model to fresh residuals from the prior prediction and then minimizes the loss when adding the most recent prediction.

So, in the end, you're utilizing gradient descent to update your model, which is why it's called gradient boosting. Both regression and classification issues are supported by this. This approach is implemented for decision tree boosting in XGBoost with an additional custom regularisation term in the goal function.

Let's us understand the reason behind the good performance of XGboost -

Regularization: This is considered as the algorithm's most important aspect. The term "regularization" refers to a strategy for removing overfitting from a model.

Cross-Validation: We use cross-validation by importing the sklearn function, however XGboost has an inherent CV function.

Missing Value: It is built in such a way that it can cope with missing values. It identifies and apprehends trends in the missing values.

Flexibility: It enables objective functions to be supported. They are the functions that are used to evaluate the model's performance and can also handle user-defined validation metrics.

Save and load: It allows you to save the data matrix and then reload it, saving both resources and time.

The results achieved for state-of-art models are compared with our model in the form of Figures 5 to 8.

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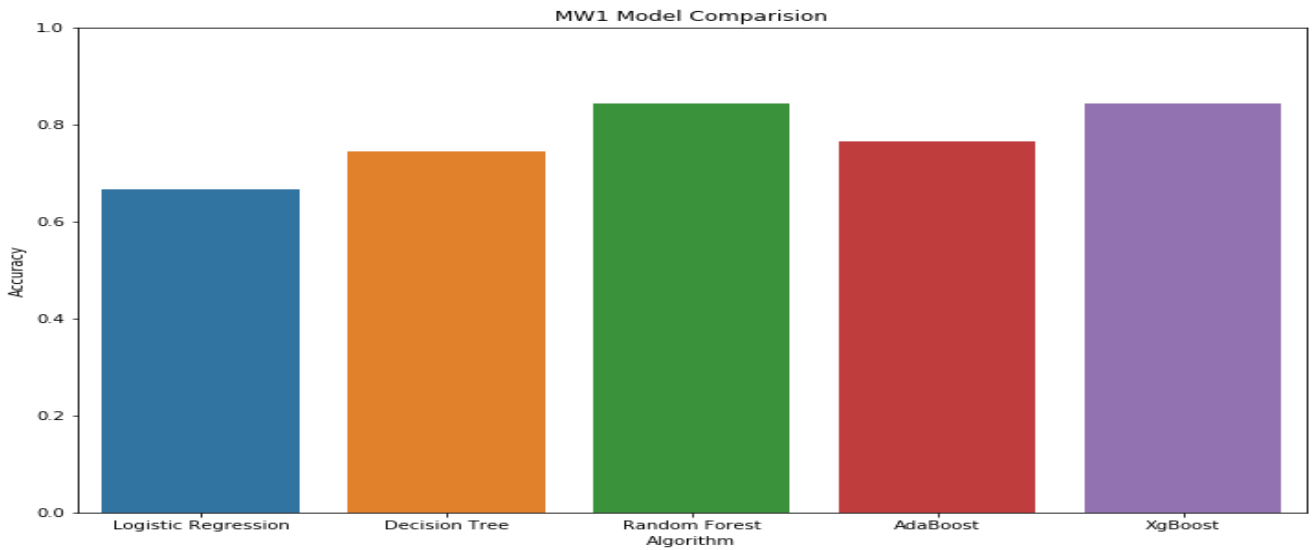


Fig-5: MW1 Model Comparison

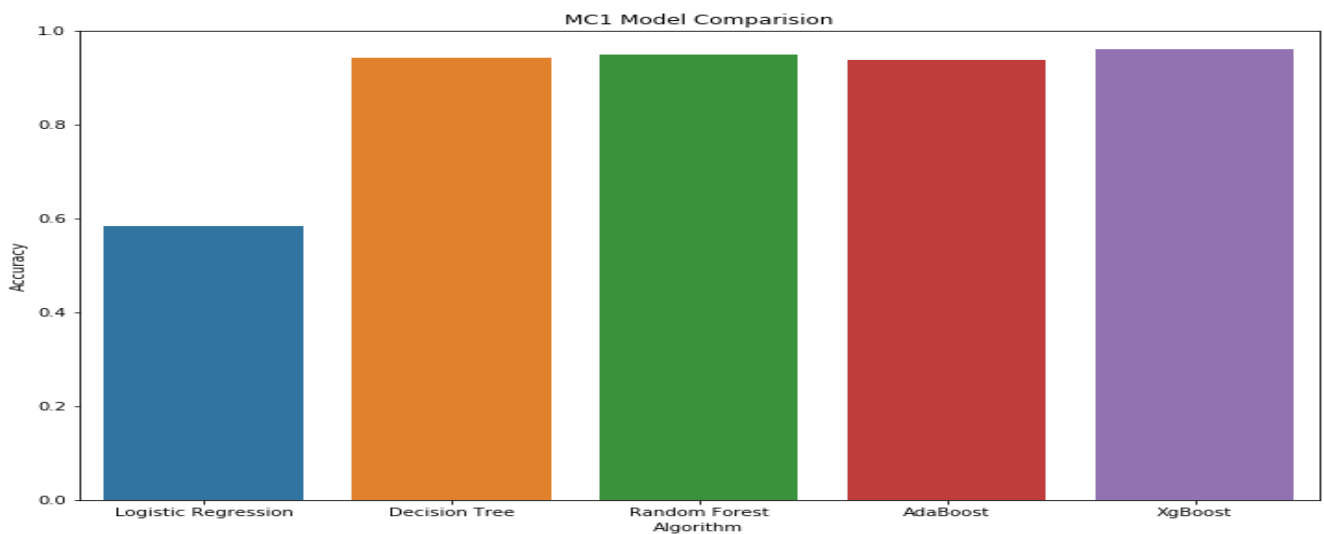


Fig-6: MC1 Model Comparison

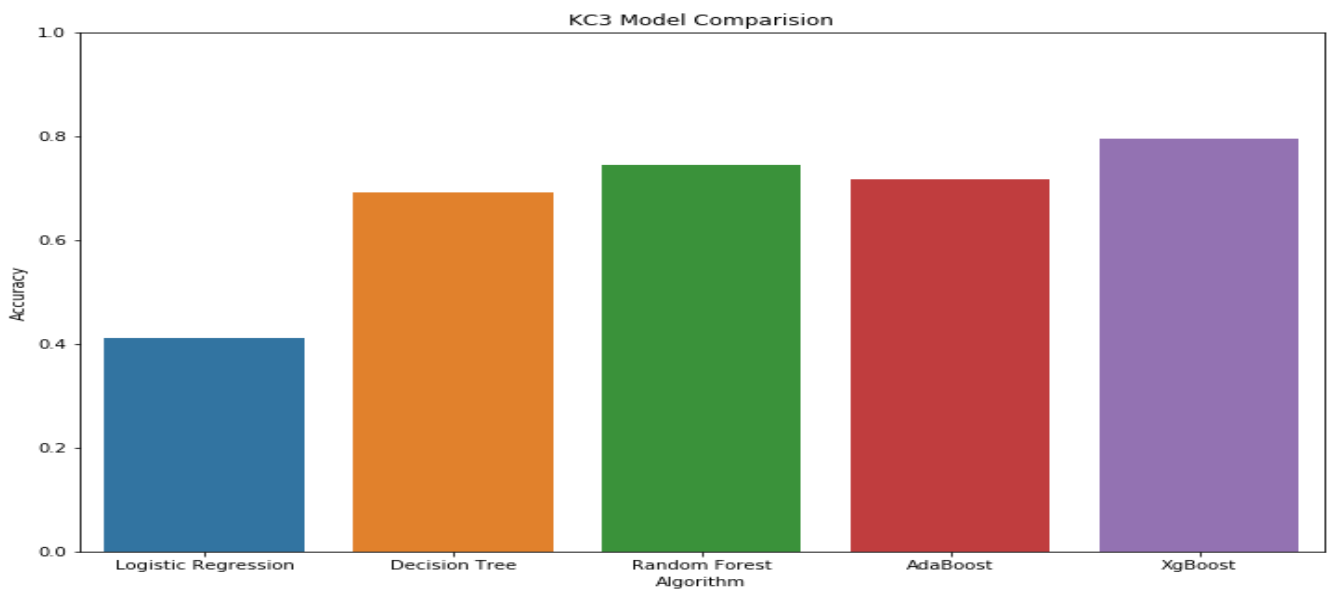


Fig-7: KC3 Model Comparison

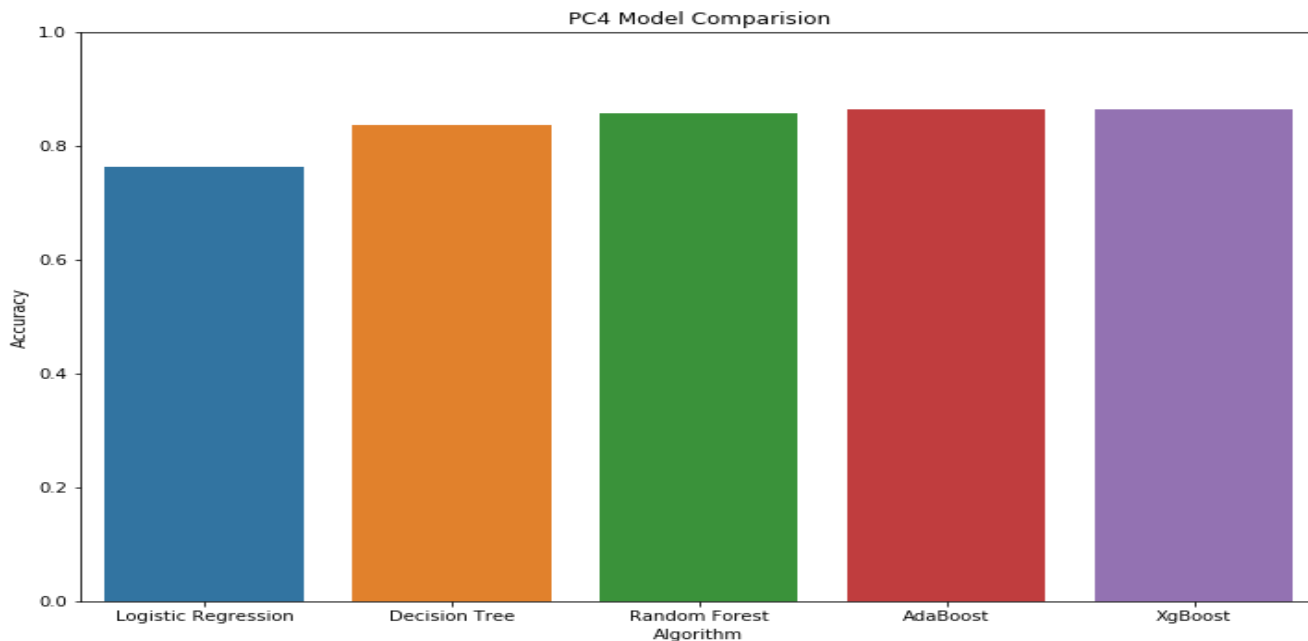


Fig-8: PC4 Model Comparison

The accuracies for all models and all datasets are shown below:

Table 2. Accuracies

	Logistic Regression	Decision Tree	Random Forest	Ada Boost	Xg Boost
MC1	0.41025	0.6923	0.717949	0.6923	0.794872
MW1	0.58291	0.9422	0.949749	0.9396	0.959799
KC3	0.66666	0.745	0.862745	0.7647	0.843137
PC4	0.76356	0.8333	0.856589	0.8643	0.864341

V. CONCLUSION

In this research, we use feature scaling to pre-process the data for better extraction and selection. Using the SMOTE technique, we were able to solve the problem of class imbalance in datasets. Then we applied the state-of-the-art machine learning models – Logistic regression, Decision Tree, Random Forest, Adaboost, and XGBoost – on four NASA datasets: KC3, PC4, MC1, and MW1. Later, a new model was presented that was based on tweaking the old XGBoost model's parameters, such as N\_estimator, learning rate, max depth, and subsample. When the findings were compared against state-of-the-art models, we found that our model outperformed them across all datasets.

DECLARATION

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Conflicts of Interest/ Competing Interests	No conflicts of interest are observed to the best of my knowledge.
Ethics Approval and Consent to Participate	The article does not require ethical approval and consent to participate.
Availability of Data and Material	Dataset that is used in this project is available at <a href="https://www.kaggle.com/datasets/aczy156/nasa-software-defect-prediction">https://www.kaggle.com/datasets/aczy156/nasa-software-defect-prediction</a>
Authors Contributions	All authors have equal participation in this article.

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